



### FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

July 22, 1999

### **MEMORANDUM**

TO:

LAWRENCE M. NOBLE

**GENERAL COUNSEL** 

THROUGH:

JAMES A. PEHRKON

STAFF DIRECTOR

FROM:

JOHN D. GIBSON

ASSISTANT STAFF DIRECTOR REPORTS ANALYSIS DIVISION

SUBJECT:

REFERRAL OF THE BROTHERHOOD OF RAILROAD

SIGNALMEN POLITICAL ACTION COMMITTEE

This is a referral of the Brotherhood of Railroad Signalmen Political Action Committee ("the Committee") for failure to file eight (8) Reports of Receipts and Disbursements in a timely manner for the 1997-1998 election cycle. According to the 1997-98 RAD Review and Referral Procedures for Unauthorized Committees further examination is required by your office.

The Committee was referred on July 31, 1997, for a possible 2 U.S.C. §438(b) audit covering the 1995-1996 election cycle. On August 5, 1997, the Commission voted not to audit the Committee (see RAD Referral #A97-179).

If you have any questions regarding this matter, please contact Scott Francis at 694-1130.

Attachment

### REPORTS ANALYSIS REFERRAL

TO

### OFFICE OF GENERAL COUNSEL

DATE: July 22. 1999

**ANALYST: Scott Francis** 

I. COMMITTEE: Brotherhood of Railroad Signalmen Political Action Committee

(C00011262)

R. R. Foley, Treasurer<sup>1</sup>

PO Box U

Mt. Prospect, IL 60056

II. RELEVANT STATUTE: 2 U.S.C. §434(a)(4)(B)

11 CFR § 104.5(c)(3)(i) & (ii)

#### III. BACKGROUND:

Chronic Late Filing of Reports

The Brotherhood of Railroad Signalmen Political Action Committee ("the Committee") failed to file eight (8) Reports of Receipts and Disbursements in a timely manner for the 1997-1998 cycle (see Chart on Page 2). The reports were filed between ten (10) and thirty-five (35) calendar days late.

On January 9, 1998, a Chronic Late Filer Notice was sent to the Committee for failing to file the 1997 May Monthly, 1997 June Monthly, 1997 September Monthly and 1997 November Monthly Reports in a timely manner (Attachment 11)2. The Notice advised the Committee that any additional late filing of reports may result in legal enforcement action. Subsequent to the mailing of the Chronic Late Filer Notice, the Committee failed to file the 1998 February Monthly, 1998 April Monthly, 1998 30 Day Post-General and 1998 Year End Reports in a timely manner.

<sup>&</sup>lt;sup>1</sup> The treasure's name appears on the Committee's Statement of Organization as "R. R. Foley"; however, his name appears as "Richard R. Foley" on FEC Form 3X.

<sup>&</sup>lt;sup>2</sup> The Chronic Late Filer Notice referenced a due date of September 30, 1997, for the 1997 September Monthly Report. The correct due date for the report is September 20, 1997.

# LATE FILING HISTORY

REPORT TYPE	PRIOR NOTICE	DATE DUE	NON-FILER NOTICE	DATE FILED
1997 May Monthly (4/1/97-4/30/97)	12/30/96 (Attachment 2) 2/14/97 (Attachment 3)	5/20/97	None	5/30/97 (Attachment 4)
1997 June Monthly (5/1/97-5/31/97)	12/30/96 (Attachment 2) 2/14/97 (Attachment 3)	6/20/97	7/9/97 (Attachment 5)	7/15/97³ (Attachment 6)
1997 September Monthly (8/1/97-8/31/97)	12/30/96 (Attachment 2) 2/14/97 (Attachment 3)	9/20/97	None	10/14/97 (Attachment 7)
1997 November Monthly (10/1/97-10/31/97)	12/30/96 (Attachment 2) 2/14/97 (Attachment 3)	11/20/97	12/15/97 (Attachment 8)	12/18/97 (Attachment 9)
1998 February Monthly (1/1/98-1/31/98)	12/29/97 (Attachment 10) 2/13/98 (Attachment 12)	2/20/98	3/13/98 (Attachment 13)	3/26/98 (Attachment 14)
1998 April Monthly (3/1/98-3/31/98)	12/29/97 (Attachment 10) 2/13/98 (Attachment 12)	4/20/98	None	5/7/98 (Attachment 15)
1998 30 Day Post- General <sup>4</sup> (10/15/98-11/23/98)	12/29/97 (Attachment 10) 2/13/98 (Attachment 12) 9/30/98 (Attachment 16) 11/5/98 (Attachment 17)	12/3/98	None	12/21/98 (Attachment 18)
1998 Year End³ (11/24/98-12/31/98)	12/29/97 (Attachment 10) 2/13/98 (Attachment 12) 12/30/98 (Attachment 19)	1/31/99	None	2/11/99 (Attachment 20)

<sup>\*</sup> The Committee filed two reports covering the period normally reported on the 30 Day Post-General Report. The Committee filed a report covering October 16, 3 The Summary Page has an FEC stamped receipt date of July 15, 1997; however, the Envelope Replacement Page for Incoming Documents discloses that the report was hand-delivered on July 16, 1997.

<sup>&</sup>lt;sup>5</sup> The Committee filed two reports covering the period normally reported on the Year End Report. The Committee filed a report covering November 1, 1998 to 1998 to October 31, 1998 on November 27, 1998, and a report covering November 1, 1998 to November 30, 1998 on December 21, 1998. November 30, 1998, on December 21, 1998, and a report covering December 1, 1998 to December 31, 1998, on February 11, 1999.

# FEDERAL ELECTION COMMISSION 1997-1998 COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)



DATE 7/22/1999

PAGE 1

COMMITTEE DOCUMENT RECEIPTS DISBURSEMENTS & OF IMAGE
COVERAGE DATES PAGES LOCATION
TYPE OF FILER

BRUTHERWOOD OF RAILROAD SIGNALMEN POLITICAL AC	= -		ID 8C89811262 NON-	PARTY QUALIFIED
COMMECTED ORGANIZATION: RAILROAD SIGNALMEN; BROTH				
1997 FEBRUARY MONTHLY	11	6,512	130037 -3130097	5 97FEC/185/2018
MARCH MONTHLY	7,131	6,515	1FEB97 -28FEB97	5 97FEC/191/2850
MARCH MONTHLY - AMENDMENT	7,131	6,515	1FEB97 -28FEB97	3 97FEC/206/0396
APRIL MONTHLY	19,659	5,340	114497 -3114497	6 97FEC/198/1773
APRIL MONTHLY - AMENDMENT	19,659	5,399	119997 -3119997	3 97FEC/205/0399
A NAY MONTHLY	4,359	7,294	16PR97 -300PR97	9 97FEC/206/0402
JUNE KONTHLY	6,556	31	1MAY97 -31MAY97	7 97FEC/214/3271
* KOTICE OF FAILURE TO FILE			1114497 -31114497	2 97FEC/213/1791
JULY MONTHLY	31	5,777	17097 -3070897	7 97FEC/214/3278
AUGUST MONTHLY	12,833	17,746	130197 -3130197	8 97FEE/235/4129
AUGUST MONTHLY - AMENDADAT	-	-	1JUL97 -31JUL97	2 97FEC/Z49/1313
REQUEST FOR ADDITIONAL INFORMATION			130197 -3130197	2 97FEC/248/1105
SEPTEMBER MONTHLY	5,127	3,537	100597 -3100597	8 97FEC/243/4771
1'ST LETTER INFORMATIONAL NOTICE			101597 -3101697	2 97FEC/248/2193
OCTOBER MONTHLY	6,538	2,379	1SEP97 -38SEP97	8 97FEE/243/4763
NOVEMBER MONTHLY	7.361	7,867	10CT97 -310CT97	9 97FEC/255/1518
NOTICE OF FAILURE TO FILE	•	•	10CT97 -310CT97	2 97FEC/256/9411
DECEMBER MONTHLY	21	10,947	1KOV97 -38KOV97	9 97FEC/255/1589
REQUEST FOR ADDITIONAL INFORMATION		·	1MR/97 -30MR/97	2 98FEC/259/2506
REQUEST FOR ADDITIONAL INFORMATION 2ND	)		1 MAN 97 - 30 MAN 97	3 98FEC/200/4352
YEAR-BID	9,521	5,521	10EC97 -31DEC97	7 98FEC/260/0359
1'ST LETTER INFORMATIONAL NOTICE		·	1DEC97 -31DEC97	2 98FEC/285/1602
1998 HISCELLAMEOUS NOTICE FROM FEC			91/11/58	3 98FEE/258/3786
FEBRUARY KONTHLY	8,497	6,795	1JAM98 -31JAM98	10 98FEC/289/4648
NOTICE OF FAILURE TO FILE		·	1JAKS8 -31JAKS8	2 98FEC/287/3373
HARCH MONTHLY	5,429	6,835	1FEB38 -28FEB98	8 30FEC/289/4658
APRIL MONTHLY	5,586	12,532	119998 -311 <b>99</b> 98	9 98FEC/308/3954
MAY MONTHLY	3,845	3,296	167758 - 3 <b>167</b> 798	6 98FEC/309/0501
JUNE MONTHLY	9,936	9,168	11167-8 -311111198	7 98FEC/316/3956
JULY MONTHLY	5,552	12,372	1JUNES -30JUNES	8 98FEE/328/4992
AUGUST MONTHLY	8,779	2,489	110198 -3110198	8 98FEC/347/4461
1'ST LETTER INFORMATIONAL NOTICE	•	,	1,701.98 -31,701.98	2 99FEC/357/3648
SEPTEMBER MONTHLY	4,548	7,664	1AU698 -31AU698	9 90FEC/353/0770
OCTOBER QUARTERLY	8,634	9,282	1SEP98 -150CT98	9 98FEC/369/9844
NOVEMBER MONTHLY	4,264	1,788	150CT98 -310CT98	8 98FEE/393/4315
DECEMBER MONTHLY	5,172	791	1MJV38 -38MJV38	9 99FEC/412/3039
YEAR-END	2,243	1,036	1DEC38 -31DEC38	8 99FEC/432/1465
TOTAL	151,429	0 156,101	8	217 TOTAL PAGES

All reports have been reviewed.

Ending cash-on-hand as of 12/31/98: \$5014.40

Outstanding debts/loans owed to/by committee as of 12/31/98: \$0

### FEDERAL ELECTION CORNISSION



DATE 7/22/1999

COMMITTEE INDEX OF DISCLOSURE DOCUMENTS - (C)

PAGE 1

COMITTEE	DOCUMENT	RECEIPTS	DISPURSEMENTS		# OF	IME
				COMERAGE DATES	PAGES	LOCATION
				-	OF FILE	

	THERHOOD OF RAILROAD SIGNALMEN POLITIC ED ORGANIZATION: RAILROAD SIGNALMEN;				ID #C00011262 HOH-	PARTY QUALIFIED
	1999 FEBRUARY MONTHLY	10,687		2,525	1JAX39 -31JAK39	8 SSFEE/439/3958
	NOTICE OF FAILURE TO FILE				1JAN99 -31JAN99	2 99FEC/949/2136
· aust	HARCH HUNTHLY	333		4,825	1FEB39 -28FEB39	8 99FEL/439/3050
ri N	APRIL MONTHLY	6,389		5,270	1MARSS -31MARSS	8 99FEC/448/1577
	NAY NONTHLY	8,343		16,529	1APR99 -34APR99	9 99FEC/948/1585
T	JUNE HONTHLY	4,582		2,282	1MAY99 -31MAY99	8 99FEC/455/0214
≓ a=!	INTA WOMENTA	7,333		5,398	eenilee- eenili	8 99FEC/455/0222
	TOTAL	37,767	1	35,931	8	51 TOTAL PAGES

No reports have been reviewed.

Ending cash-on-hand as of 6/30/99: \$6851.54
Outstanding debts/loans owed to/by committee as of 6/30/99: \$0

### YEAR-END

### REPORT NOTICE

### FEDERAL ELECTION COMMISSION

PARTIES AND PACE

December 30, 1996

QUARTERLY AND SONTELY FILERS

BEG./CART.

MAILING PILING

REPORT REPORTING PERIOD\* DATE\*\* DATE

YOU - End 11/26/96 - 12/31/96 01/31/97 01/31/97

WHO MUST FILE All party committees and PACs (nonconnected committees and separate segregated funds) must file a Year-End Report.

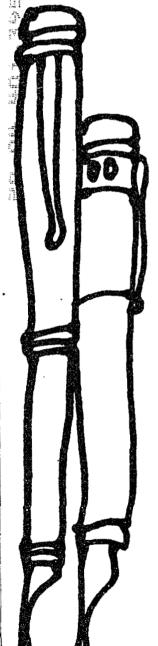
LABEL Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

COMPLIANCE
Treasurers of political committees are responsible for filing all
reports on time. Failure to do so is subject to enforcement action.
Committees filing illegible reports or using non-FEC forms (except
for FEC approved computer generated forms) will be required to
refile.

These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(over)



### PARTIES AND PACS

YEAR-END

### 1997 REPORTING SCHEDULE PARTIES AND PACS\*

### I. SEMIANNUAL PILERS\*\*

		REG./CERY.	
		Mailing	Piling
REPORT	REPORTING PERIOD	DATE	DATE
Mid-Year	01/01/97 - 06/30/97	07/31/97 .	07/31/97
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98

### II. MONTHLY FILERS

REPORT	REPORTING PERIOD	REG./CERT. MAILING DATE***	FILING DATE
February	01/01/97 - 01/31/97	02/20/97	02/20/97
March	02/01/97 - 02/28/97	03/20/97	03/20/97
April	03/01/97 - 03/31/97	04/20/97	04/20/97
May	04/01/97 - 04/30/97	05/20/97	05/20/97
June	05/01/97 - 05/31/97	06/20/97	06/20/97
July	06/01/97 - 06/30/97	07/20/97	07/20/97
August	07/01/97 - 07/31/97	08/20/97	08/20/97
September	08/01/97 - 08/31/97	09/20/97	09/20/97
October	09/01/97 - 09/30/97	10/20/97	10/20/97
November	10/01/97 - 10/31/97	11/20/97	11/20/97
December	11/01/97 - 11/30/97	12/20/97	12/20/97
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

\*Committees that wish to change their filing status must notify the Commission in writing when they file their next report due under their current reporting schedule.

\*\*Committees that filed quarterly reports in 1996 are only required to file semiannually in 1997.

\*\*\*Reports sent registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

FOR MORE INFORMATION CALL: 800/424-9530 OR 202/219-3420

# MONTHLY Attachent 3 Page 1 of 2 REPORT NOTICE

### FEDERAL ELECTION COMMISSION

### **PARTIES AND PACS**

February 14, 1997

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### WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a monthly basis must file Monthly Reports in 1997.

### REPORTING DATES

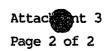
***************************************		REG/CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	DATE
February	01/01/97 - 01/31/97	02/20/97	02/20/97
March	02/01/97 - 02/28/97	03/20/97	03/20/97
April	03/01/97 - 03/31/97	04/20/97	04/20/97
May	04/01/97 - 04/30/97	05/20/97	05/20/97
June	05/01/97 - 05/31/97	06/20/97	06/20/97
July	06/01/97 - 06/30/97	07/20/97	07/20/97
August	07/01/97 - 07/31/97	08/20/97	08/20/97
September	08/01/97 - 08/31/97	09/20/97	09/20/97
October	09/01/97 - 09/30/97	10/20/97	10/20/97
November	10/01/97 - 10/31/97	11/20/97	11/20/97
December	11/01/97 - 11/30/97	12/20/97	12/20/97
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

### 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with a special election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the election.

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.



### **CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from monthly to semiannual) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

### LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

### **ELECTRONIC FILING**

As of January 1, 1997, political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

0402	Attachme	nt 4	
REPORT OF RECEIPTS AND DISBURSEM	ENTS		L
For Other Than An Authorized Committee		FEDE!	RECEIVED RAL ELECTION SION MAIL ROC!
(Summary Page)		COMMIS	SION ELECTION
1. NAME OF COMMITTEE (In full) BROTHERHOOD OF RAILROAD SIGNALMEN	1		
POLITICAL ACTION COMMITTEE		May 30	11 30 Ki '97
ADDRESS (number and street) Check if different their previously reported			11 20 114 31
PO BOX U	2. FEC IDEN	TIFICATION NU	MBER
CITY, STATE and ZIP CODE	C00011262		
1		committee has niltse. (see FE	qualified as a multican
MOUNT PROSPECT, IL 60056		(	
4. TYPE OF REPORT			
•	Monthly Board Thin C	<b>t</b> un•	J
(a) April 15 Quarterly Report	Monthly Report Due C	Tiune 20	Cotober 20
July 15 Counterly Report	Martin 20	July 20	November 20
	April 20	August 20	December 20
October 15 Quarterly Report	X May 20	September 2	O January 31
Jenuary 31 Year End Report	Twelfth day report pre	ceding	
	election on	in the S	(Type of Election)
July 31 Mid Year Report (Non-election Year Only)	Children Git	MI DIS C	
	Thirtieth day report fol	lowing the Gene	irel Election on
The section for the section fo	la i	the State of	
Termination Report	***************************************	110 G1540 (N	<u> </u>
(b) to this Report on Amendment? YES X NO			•
SUMMARY 5. Covering Period 04/01/97 through 04/30/97	COLUMN		Column B
5. Covering Pariod 04/01/97 through 04/30/97	This Period		Calendar Year-to-Date
6. (a) Cash on Hand January 1, 197		3	9685.26
The state of the state of the state of the state of	\$ 18		
(b) Cash on Hand at Beginning of Reporting Period	4	159.06	
(c) Total Receipts (from line 19)	\$ 4	959.03 \$	-31760.54
(d) Subtotal (edd Lines 6(b) and 6(c) for Column A and	\$ 23	440 00 8	, Seeding 44.20
Lines 6(a) and 6(c) for Column 8)	23	118.09 \$	41445.80
7. Total Diebursements (from Line 30)	\$ 7:	294.05 \$	25621.76
8. Cash on Hand at Close of Reporting Periodistatest Line 7 from Line 6(d))	<b>s</b> 15	824.04 \$	15824.04
9. Debts and Obligations Occulto the Committee			further information
(Remize ell on Schedule C and/or Schedule D).	<u>   \$</u>	cont. Fede	aet: erei Election Commissi
10. Debts and Obligations OwedBY the Committee (itemize all on Schedule C and/or Schedule D)	\$		E Street, NW hington, DC 20463
I certify that I have examined this Report and to the best of my knowledge and be	lief it is true, correct	Toll	Free 600-424-9530 al 202-219-3420
end complets.  Type or Print Name of Trossurer			
RICHARD R. FOLEY			
		THE RESERVE THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN	
Signature of Treasurer	Ω	Det	<b>.</b>
Signature of Treasurer Kichard R F	alen		• 20/97

FEC FORM 3X

(revised 9/93) 3 00 04P - 1

970321317490910300001 973527 Attachment WESTERN JUL - 9 1997 : } UMION ELECTRONIC MAIL SERVICES ji CONFIRMATION OF MAILGRAM TO:BROTHERHOOD OF RAILROAD SIGNALMEN POLITI R R FOLEY PO BOX U ) MT PROSPECT IL 60056-9048 C FEDERAL ELECTION COMMISSION SHAWN WERTH 999 E ST. NW. WASHINGTON DC 204630001 • 4 IDENTIFICATION NUMBER: CO0011262 (05/01/1997 - 05/31/1997)REFERENCE: JUNE MONTHLY DEAR TREASURER: IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION. THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEGERAL ELECTION CAMPAIGN ACT: AS AMENDED. YOU MERE PREVIOUSLY NOTIFIED OF THE DUE C DATE FOR THIS REPORT. IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE C FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., WASHINGTON, D.C. 20463 (OR WITH THE SECRETARY OF THE SENATE). A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER. ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION. IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT RICHARD H. NG ON DUR TOLL FREE NUMBER (8001424-9530. LOCAL NUMBER 15 (202)219-3580. SINCERELY JOHN D. GIBSON ASSISTANT STAFF DIRECTOR. REPORTS ANALYSIS DIVISION

•			
REPORT	OF RECEIPTS	AND	<b>DISBURSEMENTS</b>

For Other Than An Authorized Committee

Page 1 of 2

ttachment 6

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	DEARWAR	
	RECEIVED	
	FEDERAL LEAT	
	しょくだい しゅうしん	,
- [	Oldkie e e e e e e e e e	

(Summary Page)	COMMISSION TO THE
1. NAME OF COMMITTEE (In full)	OURRISCHT TO THE TOTAL
BROTHERHOOD OF RAILROAD SIGNALMEN	
POLITICAL ACTION COMMITTEE  ADDRESS (number and street) Check if different than previously reported	Julio 2 octivisi
ADDRESS (number and street) Check if different than previously reported	, ,
PO BOX U	2. FEC IDENTIFICATION NUMBER
CITY, STATE and ZIP CODE	C00011262
	3. X This committee has qualified as a multicant committee (see FEC FORM 1M)
MOUNT PROSPECT, IL 60056	

A.	TYPE	OF	REPO	DRT
----	------	----	------	-----

(a) April 15 Quartarly Report	Monthly Report Due On:
	February 20 X June 20 Cotober 20
July 15 Quarterly Report	March 20 July 20 November 20 April 20 August 20 Decamber 20
October 15 Quarterly Report	April 20 August 20 Decamber 20 May 20 September 20 January 31
January 31 Year End Report	Twelfth day report preceding (Type of Election)
July 31 Mid Year Report (Non-election Year Only)	election onin the State of
	Thirtieth day report following the General Election on
Termination Report	in the State of

(p)	te this Report an Amendment?	YES	XNO

SUI 5.	MMARY Covering Period 05/01/97 through 05/31/97	•	COLUMN A			OLUMN B rder Year-to-Date
5.	(a) Cash on Hand January 1, 197				\$	9685,26
	(b) Cash on Hand at Beginning of Reporting Period	\$	1582	24.04		
	(c) Total Receipts (from line 19)	3	65!	56.31	\$	38316.85
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) s. ≥ 6(c) for Column B)	\$	223	30.35	\$	48002.11
·	Total Disbursements (from Line 30)	\$		31.73	\$	25653.4 <u>9</u>
j.	Cash on Hand at Close of Reporting Period(subtract Line 7 from Line 6(d))	s	2234	48.62	\$	22348.62
	Debts and Obligations OwedTO the Committee (Itemize all on Schedule C and/or Schedule D)	\$			contact:	er information
0.	Debts and Obligations OwedBY the Committee (itemize all on Schedule C and/or Schedule D)	\$			989 E S	Election Commiss treat, NW ston, DC 20463
	ertify that I have examined this Report and to the best of my knowledge and ballef it d complete.	is true, can	rect			800-424-9530 2-219-3420
•	pe or Print Name of Treasurer THARD R. FOLEY	·····			<del> </del>	*
_	nature of Treasurer		<u> </u>		Date	
	Richard R Foley				06/20/	97

NOTE: Submission of false, erroneous, or incomplete information massispect the person signing this Report to the penalties of 2 U.S.C. 437g.

FEC FOR

### **Federal Election Commission**

### ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

how it	was received.	*
Q	Hand Delivered	DATE OF RECEIPT  7-15-97
. 🖸	First Class Mail	POSTMARKED
	Registered/Certified Mail	POSTMARKED
	No Postmark	
	Postmark Illegible	
	Received from the House Office of Records and Registration	DATE OF RECEIPT
	Received from the Senate Office of Public Records	DATE OF RECEIPT
	Other (Specify):	POSTMARKED
		and/or DATE OF RECEIPT
1 m L		7-16.97
PREPA	REA	DATE PREPARED

# REPORT OF RECEIPT For Other Than An Authorized Co (Summary Page)

SAND	DISBURSEMENTS	Attachment	7
		•	

•	RECEIVED
	FEDERAL ELECTION
C	OMMISSION MAIL ROCA

. 7

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nmary Page)	FEDERAL ELECTION
NAME OF COMMITTEE (In MI)	COMMISSION MAIL ROC
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BROTHERHOOD OF RAILROAD SIGNALMEN		_	• • • •	<b>69.</b> - 6
POLITICAL ACTION COMMITTEE		Uct	14 10 0	10 AN '97
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		committee.	(ses FEC F	DRM 1M)
MOUNT PROSPECT, IL 60056				
4. TYPE OF REPORT				, \-
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October 15 Quarterly Report		• == •	et 20 E	_  December 20 
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July 31 Mid Year Report (Non-election Year Only)	olectic	xn on	_in the State of	
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Termination Report		in the Stat	e of	
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(b) Is this Report on Amendment? YES X NO				
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(Remitte sti en Schedule C and/or Schedule D)	\ <u>\$</u>		contact:	Section Commission
Debts and Obligations OwedBY the Committee     (Semire all on Schedule C and/or Schedule D)	<b>s</b>			reet, NW ton, DC 20463
I certify that I have examined this Report and to the best of my knowledge and I		n oceani		800-424-9530
and complete.			Local 20	2-219-3420
Type or Print Name of Treasurer			بر القائد التي بين القائد التي التي التي التي التي التي التي التي	
RICHARD R. FOLEY				
Signature of Treasurer		•	Date	
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NOTE: Submission of false, erroneous, or incomplete information regulated the			09/20/9	والمساور فيتمار فيتناها والمساور والمساور والمساور

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Attachment 8.

ELECTRONIC MAIL SERVICES TO: BROTHERHOOD OF RAILROAD SIGNALMEN PAC . .. CONFIRMATION OF MAILGRAM PO BOX U MT PROSPECT IL 60056-9048

FEDERAL ELECTION COMMISSION SHAWN WERTH 999 E ST. NW. HASHINGTON DC 204630001

- IDENTIFICATION NUMBER: COCOLIZEZ

REFERENCE: NOVEMBER MONTHLY (10/01/1997 - 10/31/1997)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION CONNISSION THAT YOU HAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION, 999 E STREET, Now, WASHINGTON, O.C. 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT-ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT SCOTT A. FRANCIS ON OUR TOLL FREE NUMBER (800)424-9530. DUR LOCAL NUMBER IS (202)219-3580.

SINCERELY

JOHN D. GIBSON ASSISTANT STAFF DIRECTOR REPORTS ANALYSIS DIVISION

Attachment 9 FED: - ZED

(Summary Page)		CON 757	al Ele	CTION
1. NAME OF COMMITTEE (In NAI)		<b>R</b>		
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POLITICAL ACTION COMMITTEE			11 41	an J/
ADDRESS (number and street) Check if different then previously reported				
PO BOX U		2. FEC IDENTIFICAT	YON NU	48ER
CITY, STATE and ZIP CODE		C00011262	ردنيي والشف	
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MOUNT PROSPECT, IL 60056			1000 . 20	
4. TYPE OF REPORT	alite a la comp			
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(a) April 15 Quarterly Report		My Report Due Ch:		F-10-4-1-100
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[7]	٦			• • •
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(b) Is this Report an Amendment? YES X NO				
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### YEAR-END

Page 1 of 2

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### REPORT NOTICE

### FEDERAL ELECTION COMMISSION

#### PARTIES AND PACS

December 29, 1997

### WHO MUST FILE

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 1998.

I. Semi-annual F	<u> </u>		
REPORT	REPORTING PERIOD*	REG/CERT. MAILING DATE**	FILING DATE
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98
II. Monthly File	rs	DEC CENT	
REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

### LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

### **ELECTRONIC FILING**

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.



### I. QUARTERLY FILERS

		REG./CERT.			
		MAILING FILI			
REPORT	REPORTING PERIOD*	DATE**	DATE		
April Quarterly	01/01/98 - 03/31/98	04/15/98	04/15/98		
July Quarterly	04/01/98 - 06/30/98	07/15/98	07/15/98		
October Quarterly	07/01/98 - 09/30/98	10/15/98	10/15/98		
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98		
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98		
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99		

### II. MONTHLY FILERS

		REG./CERT.		
	,	<b>MAILING</b>	FILING	
REPORT	REPORTING PERIOD*	DATE**	DATE	
February	01/01/98 - 01/31/98	02/20/98	02/20/98	
March	02/01/98 - 02/28/98	03/20/98	03/20/98	
April	03/01/98 - 03/31/98	04/20/98	04/20/98	
May	04/01/98 - 04/30/98	05/20/98	05/20/98	
June	05/01/98 - 05/31/98	06/20/98	06/20/98	
July	06/01/98 - 06/30/98	07/20/98	07/20/98	
August	07/01/98 - 07/31/98	08/20/98	08/20/98	
September	08/01/98 - 08/31/98	09/20/98	09/20/98	
October	09/01/98 - 09/30/98	10/20/98	10/20/98	
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98	
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98	
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99	

### 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.



### FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

MS-L

9 1998

R. R. Foley, Treasurer Brotherhood of Railroad Signalmen Political Action Committee P.O. Box U Mt. Prospect, IL 60056

Identification Number: C00011262

Reference: 1997-1998 Election Cycle Reports

Dear Mr. Foley:

It has come to the attention of the Federal Election Commission ("the Commission") that your committee may have violated 2 U.S.C. §434(a), by failing to timely file Reports of Receipts and Disbursements. The following is a list of the reports in question.

Report Type	Due Date	Date Filed
May Monthly Report (4/1/97-4/30/97)	5/20/97	5/30/97
June Monthly Report (5/1/97-5/31/97)	6/20/97	7/15/97
September Monthly Report (8/1/97-8/31/97)	9/30/97	10/14/97
November Monthly Report (10/1/97-10/31/97)	11/20/97	12/18/97

Timely filing is a specific requirement of the Federal Election Campaign Act ("the Act") and is essential to fulfilling the public disclosure concept embodied in that law. The Commission views failure to timely file reports as a serious violation of the Act. This communication is to advise you that, notwithstanding any matters which may be pending before the Commission, any additional report which is not submitted in a timely manner by your committee may result in the Commission initiating legal enforcement or audit action.

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You may submit a letter of explanation in response to this notification. If, however, you have any questions, please feel free to contact Scott Francis on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

Lisa J. Stolaruk

Chief, Party/Non-Party Branch Reports Analysis Division

### MONTHLY

### REPORT NOTICE

### FEDERAL ELECTION COMMISSION

### **PARTIES AND PACS**

February 13, 1998

### WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a monthly basis must file Monthly Reports in 1998.

### REPORTING DATES

		REG/CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	DATE
February	01/01/98 - 01/31/98	02/20/98	02/20/98
March	02/01/98 - 02/28/98	03/20/98	03/20/98
April	03/01/98 - 03/31/98	04/20/98	04/20/98
May	04/01/98 - 04/30/98	05/20/98	05/20/98
June	05/01/98 - 05/31/98	06/20/98	06/20/98
July	06/01/98 - 06/30/98	07/20/98	07/20/98
August	07/01/98 - 07/31/98	08/20/98	08/20/98
September	08/01/98 - 08/31/98	09/20/98	09/20/98
October	09/01/98 - 09/30/98	10/20/98	10/20/98
Pre-Election	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-Election	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

### 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

Monthly



**CHANGE IN FILING FREQUENCY** 

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

### LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

### **ELECTRONIC FILING**

Political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 219-3730.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

98072945600001 Attachment 13 CCM Œ. MAR 1 3 1998 C C WESTERN UNION COMMERCIAL SERVICES CONFIRMATION OF MAILGRAM TO:BROTHERHOOD OF RAILROAD SIGNALMEN PAC R R FOLEY C PO BOX U MT PROSPECT IL 60056-9048 C C O FEDERAL ELECTION COMMISSION SHAWN WERTH 999 E ST. NW. WASHINGTON DC 204630001 C. A ( 1 -7 **(**] IDENTIFICATION NUMBERS C00011262 REFERENCE: FEBRUARY MONTHLY (01/01/1998 - 01/31/1998) E DEAR TREASURER: . 5. <u>1</u> IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION ( THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT. AS AMENDED. YOU WERE PREVIOUSLY MOTIFIED OF THE DUE € DATE FOR THIS REPORT. IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE C FEDERAL ELECTION COMMISSION, 999 E STREET, N.W., NASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO SE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER. C ALTHOUGH THE COMMISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND 1 A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION. IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT. (· SCOTT A. FRANCIS ON OUR TOLL FREE NUMBER (800)424-9530 OUR LOCAL NUMBER IS (202)694-1130. ( SINCERELY. JOHN D. GIBSON ' ( ASSISTANT STAFF DIRECTOR REPORTS ANALYSIS DIVISION C ₹ 1

(revised 9/93)

## GENERAL ELECTION

Attachment 16

Page 1 of 2

### REPORT NOTICE

### FEDERAL ELECTION COMMISSION

### **PARTIES AND PACS**

September 30, 1998

### I. ALL MONTHLY FILERS

		REG./CERT.		
		MAILING	FILING	
REPORT	REPORTING PERIOD*	DATE**	DATE	
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98	
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98	

### II. QUARTERLY FILERS THAT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

		REG./CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	DATE
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98

### III. QUARTERLY FILERS THAT DO NOT MAKE GENERAL ELECTION CONTRIBUTIONS OR EXPENDITURES FROM OCTOBER 1 THROUGH OCTOBER 14

		REG./CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	DATE
Post-General	10/01/98 - 11/23/98	12/03/98	12/03/98

### WHO MUST FILE

Party Committees and PACs must follow the above charts in order to determine whether they must file a report 12 days before the general election on November 3 (the Pre-General Report). All Party Committees and PACs, regardless of financial activity, must file a report 30 days after the general election (the Post-General Election Report).

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

Attach t 16 Page 2 of 2

### **PARTIES AND PACS**

### Pre/Post General Election

### 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any Party Committee or PAC that makes independent expenditures aggregating \$1,000 or more during the period beginning October 15 and ending November 1 must report them within 24 hours.

### LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

### **ELECTRONIC FILING**

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

# GENERAL ELECTION REPORT NOTICE

### FEDERAL ELECTION COMMISSION

**PARTIES AND PACS** 

November 5, 1998

\*\*\*REMINDER

REMINDER

**REMINDER\*\*\*** 

All party committees and PACs (nonconnected committees and separate segregated funds) must file a Post-General Election Report. Reports sent by registered or certified mail must be postmarked by the mailing date (December 3, 1998); otherwise, they must be received by the filing date (December 3, 1998). FEC Form 3X is enclosed.

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### YEAR-END

achment 19
Page 1 of 2

### REPORT NOTICE

### FEDERAL ELECTION COMMISSION

### PARTIES AND PACS

December 30, 1998

### WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report.

**OUARTERLY AND MONTHLY FILERS** 

		REG./CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	DATE
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

#### LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

### **CHANGE IN FILING FREQUENCY**

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

### **ELECTRONIC FILING**

Political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 694-1250.

### **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

Page 2 of 2

Year-End

### I. SEMIANNUAL FILERS

		REG./CERT.		
		MAILING	FILING	
REPORT	REPORTING PERIOD*	DATE**	DATE	
Mid-Year	01/01/99 - 06/30/99	07/31/99	07/31/99	
Year-End	07/01/99 - 12/31/99	01/31/00	01/31/00	

### II. MONTHLY FILERS

		REG./CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	<u>DATE</u>
February	01/01/99 - 01/31/99	02/20/99	02/20/99
March	02/01/99 - 02/28/99	03/20/99	03/20/99
April	03/01/99 - 03/31/99	04/20/99	04/20/99
May	04/01/99 - 04/30/99	05/20/99	05/20/99
June	05/01/99 - 05/31/99	06/20/99	06/20/99
July	06/01/99 - 06/30/99	07/20/99	07/20/99
August	07/01/99 - 07/31/99	08/20/99	08/20/99
September	08/01/99 - 08/31/99	09/20/99	09/20/99
October	09/01/99 - 09/30/99	10/20/99	10/20/99
November	10/01/99 - 10/31/99	11/20/99	11/20/99
December	11/01/99 - 11/30/99	12/20/99	12/20/99
Year-End	12/01/99 - 12/31/99	01/31/00	01/31/00

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.

(revised 9/93)

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